

**Sample Campaign Contribution Certification Form For
Candidate or Exploratory Committee for:**
Governor
Lieutenant Governor
Attorney General
Secretary of the State, Comptroller, Treasurer
State Senator or State Representative
Political Committees Authorized to Make Contributions to Such Committees
and Party Committees

Connecticut General Statutes Section 9-608(c)(3) requires that each individual who makes a contribution(s) separately or in the aggregate, in excess of \$50, to provide with the contribution a certification that the contributor is not a principal of a state contractor or prospective state contractor, nor a communicator lobbyist or a member of the immediate family of a communicator lobbyist, and shall provide the name of the employer of the contributor.

Contribution \$ _____

Name _____

Residence Address _____

City _____ State _____ Zip _____

Phone (home) _____ (other) _____

Employer _____

Occupation _____

Are you a lobbyist? Yes No

Are you the spouse or dependent child of a lobbyist? Yes No

Are you a principal of a state contractor? Yes No

I hereby certify and state that all of the information disclosed by me and set forth above on this contributor card is true and accurate to the best of my knowledge and belief and that I am **NOT** a principal of a state contractor, prospective state contractor, and that I am **NOT** a communicator lobbyist or a member of the immediate family of a communicator lobbyist.

(Signature of Contributor)

Definition of Terms

“Communicator Lobbyist” means: an individual or entity that is registered with the Offices of State Ethics and the term “communicator lobbyist” is defined by Section 1-91 of the Code of Ethics for Lobbyists. A “communicator lobbyist” receives or agrees to receive \$2,000 or more in a calendar year for lobbying. *See* Conn. Gen. Stats. Section 1-91(u).

“State contract” means: an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan or a loan to an individual for other than commercial purposes. *See* Conn. Gen. Stats. Section 9-612(g)(1)(C), as amended by P.A. 07-01

“State contractor” means: a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. "State contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee. *See* Conn. Gen. Stats. Section 9-612(g)(1)(D), as amended by P.A. 07-01

“Prospective state contractor” means: a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. "Prospective state contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee. *See* Conn. Gen. Stats. Section 9-612(g)(1)(E), as amended by P.A. 07-01.

“Principals of a State Contractor or Prospective State Contractor” means: (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has managerial or discretionary responsibilities with respect to a state contract, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor. *See* Conn. Gen. Stats. Section 9-612(g)(1)(F), as amended by P.A. 07-01.

“Dependent Child” means: a child residing in an individual’s household who may legally be claimed as a dependent on the federal income tax return of such individual. *See* Conn. Gen. Stats. Section 9-612(g)(1)(G), as amended by P.A. 07-01.

“Immediate family” means: The spouse or a dependent child of an individual. *See* Conn. Gen. Stats. Section 9-601(24). Please note, “spouse” as used above includes partners to a civil union pursuant to Conn. Gen. Stats. Section 46b-38aa, *et al.*